



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,183	05/31/2001	James N. Higginbotham	P04580US1

22885  
ZARLEY MCKEE THOMTE VORHEES & SEASE PLC  
SUITE 3200  
801 GRAND AVENUE  
DES MOINES, IA 50309-2721

CONFIRMATION NO. 9947

## FORMALITIES LETTER



\*OC000000006372121\*

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Higginbotham et al.  
SERIAL NO : 09/871,183  
FILED : May 31, 2001  
TITLE : METHODS AND COMPOSITIONS FOR EFFICIENT GENE  
TRANSFER USING TRANSCOMPLEMENTARY VECTORS

Grp./A.U. : 1636  
Conf. No. : 9947  
Docket No. : P04580US1

**TRANSMITTAL LETTER**

To the Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application with Filing Date Granted,  
enclosed please find the following documents:

- (1) Copy of Notification of Missing Requirements
- (2) Declaration for Utility or Design Patent Application (37 CFR 1.63) by inventors James N. Higginbotham, Charles J. Link, and William J. Ramsey;
- (3) Check for \$65.00 surcharge fee to file late oath (Small entity)

If any additional fees are needed, please charge Deposit Account No. 26-0084.

Respectfully submitted,

Heidi S. Nebel, Reg. No. 37,719  
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Attorneys of Record

- pw -

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))**

I hereby certify that this Declaration Not Accompanying Application is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 this 1<sup>st</sup> day of October, 2001.

  
Heidi S. Nebel